

General information about company	
Scrip code	532731
NSE Symbol	ROHITFERRO
MSEI Symbol	NOTLISTED
ISIN	INE248H01012
Name of the entity	ROHIT FERRO-TECH LIMITED
Date of start of financial year	01-04-2020
Date of end of financial year	31-03-2021
Reporting Quarter	Half Yearly
Date of Report	30-09-2020
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I																				
Annexure I to be submitted by listed entity on quarterly basis																				
I. Composition of Board of Directors																				
Disclosure of notes on composition of board of directors explanatory														Textual Information(1)						
Whether the listed entity has a Regular Chairperson														No						
Whether Chairperson is related to MD or CEO														No						
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	SURESH KUMAR PATNI	AESPP2029L	00032674	Non-Executive - Non Independent Director	Not Applicable		13-07-1959	NA		07-04-2000	27-08-2007		245	3	0	2	0		
2	Mr	ANKIT PATNI	AJWPP2920M	00034907	Executive Director	Not Applicable	MD	13-01-1985	NA		30-09-2015	29-08-2019		60	3	0	2	0		
3	Mr	RANJEET KUMAR BURNWAL	AHKPB3056E	07479341	Executive Director	Not Applicable		08-01-1967	NA		24-03-2016	24-03-2019		54	1	0	0	0		
4	Mrs	SUJATA AGARWAL	AKXPA1423C	06833458	Non-Executive - Independent Director	Not Applicable		22-11-1979	NA		02-12-2014	02-12-2019		69	3	3	4	3		

## I. Composition of Board of Directors

### Disclosure of notes on composition of board of directors explanatory

#### Wether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mr	SHARAT MALIK	AEUPM4121J	08529458	Non-Executive - Independent Director	Not Applicable		09-08-1968	NA		14-08-2019			13	3	3	3	1		
6	Mr	ANKIT RUNGTA	ACTPR0588H	06893793	Non-Executive - Independent Director	Not Applicable		24-09-1984	NA		02-12-2014	02-12-2019		69	1	1	1	0		
7	Mr	ANKIT JAIN	AICPJ1998E	07672255	Non-Executive - Independent Director	Not Applicable		04-08-1988	NA		14-12-2016			45	2	2	3	2		

### Text Block

Textual Information(1)	<p>The National Company Law Tribunal (NCLT), Kolkata Bench, vide order dated 7th February, 2020 in Company Petition No. 1214/KB/2018 has initiated CIRP under Section 7 of the Insolvency and Bankruptcy Code, 2016. Mr Supriyo Kumar Chaudhuri (IP Registration No. IBBI/IPA-001/IP-P00644/2017-18/11098) was appointed as IRP to manage affairs of the Company in accordance with the provisions of the Code. He was later on confirmed as RP by Committee of Creditors at their 1st meeting held on 5th March, 2020.</p> <p>Mr. Ankit Rungta and Mr. Ankit Jain, Independent Directors have placed their resignations before the Company w.e.f. 7th March, 2020, Mrs. Sujata Agarwal, Independent Director has placed her resignation before the Company w.e.f. 29th May, 2020 and Mr. Sharat Malik, Independent Director has also placed his resignation before the Company w.e.f. 20th July, 2020. The same were put up before CoC by RP. However, none of the resignations were approved by CoC.</p>
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### Annexure 1

#### II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Textual Information(1)

### Annexure 1 Text Block

Textual Information(1)	Mr. Ankit Rungta and Mr. Ankit Jain, Independent Directors have placed their resignations before the Company w.e.f. 7th March, 2020, Mrs. Sujata Agarwal, Independent Director has placed her resignation before the Company w.e.f. 29th May, 2020 and Mr. Sharat Malik, Independent Director has also placed his resignation before the Company w.e.f. 20th July, 2020. The same were put up before CoC by RP. However, none of the resignations were approved by CoC.
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Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07672255	ANKIT JAIN	Non-Executive - Independent Director	Chairperson	14-12-2016		Textual Information(1)
2	06833458	SUJATA AGARWAL	Non-Executive - Independent Director	Member	02-12-2014		Textual Information(2)
3	06893793	ANKIT RUNGTA	Non-Executive - Independent Director	Member	02-12-2014		Textual Information(3)

Sr Text Block	
Textual Information(1)	Mr. Ankit Jain, Independent Director has placed his resignation before the Company w.e.f. 7th March, 2020 but the same was rejected by the CoC.
Textual Information(2)	Mrs. Sujata Agarwal, Independent Director has placed her resignation before the Company w.e.f. 29th May, 2020 but the same was rejected by the CoC.
Textual Information(3)	Mr. Ankit Rungta, Independent Director has placed his resignation before the Company w.e.f. 7th March, 2020 but the same was rejected by the CoC.

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06893793	ANKIT RUNGTA	Non-Executive - Independent Director	Chairperson	02-12-2014		Textual Information(1)
2	07672255	ANKIT JAIN	Non-Executive - Independent Director	Member	14-12-2016		Textual Information(2)
3	08529458	SHARAT MALIK	Non-Executive - Independent Director	Member	14-08-2019		Textual Information(3)

Sr Text Block	
Textual Information(1)	Mr. Ankit Rungta, Independent Director has placed his resignation before the Company w.e.f. 7th March, 2020 but the same was rejected by the CoC.
Textual Information(2)	Mr. Ankit Jain, Independent Director has placed his resignation before the Company w.e.f. 7th March, 2020 but the same was rejected by the CoC.
Textual Information(3)	Mr. Sharat Malik, Independent Director has placed his resignation before the Company w.e.f. 20th July, 2020 but the same was rejected by the CoC.

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					No		

Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06833458	SUJATA AGARWAL	Non-Executive - Independent Director	Chairperson	02-12-2014		Textual Information(1)
2	00032674	SURESH KUMAR PATNI	Non-Executive - Non Independent Director	Member	30-05-2014		
3	08529458	SHARAT MALIK	Non-Executive - Independent Director	Member	14-08-2019		Textual Information(2)

#### Sr Text Block

Textual Information(1)	Mrs. Sujata Agarwal, Independent Director has placed her resignation before the Company w.e.f. 29th May, 2020 but the same was rejected by the CoC.
Textual Information(2)	Mr. Sharat Malik, Independent Director has placed his resignation before the Company w.e.f. 20th July, 2020 but the same was rejected by the CoC.

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06833458	SUJATA AGARWAL	Non-Executive - Independent Director	Chairperson	02-12-2014		Textual Information(1)
2	00032674	SURESH KUMAR PATNI	Non-Executive - Non Independent Director	Member	07-05-2014		
3	07479341	R.K. BURNWAL	Executive Director	Member	30-05-2016		

#### Sr Text Block

Textual Information(1)	Mrs. Sujata Agarwal, Independent Director has placed her resignation before the Company w.e.f. 29th May, 2020 but the same was rejected by the CoC.
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Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

#### Annexure 1

##### Annexure 1

##### III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory

Textual Information(1)

#### Text Block

Textual Information(1)	In consonance with the stipulation contained in Section 17, 18 and 20 of the IBC, 2016, the powers of the Board of Directors and its Committees stand suspended and the same are vested with and exercised by Resolution Professional. Two meetings of Resolution Professional with Directors and KMP of the Company were held on 26th August, 2020 and 15th September, 2020 for approval of the Financial Results both standalone and consolidated basis for the year ended 31.03.2020 and quarter ended 30.06.2020.
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Annexure 1	
<b>IV. Meeting of Committees</b>	
Disclosure of notes on meeting of committees explanatory	
Textual Information(1)	
Text Block	
Textual Information(1)	In consonance with the stipulation contained in Section 17, 18 and 20 of the IBC, 2016, the powers of the Board of Directors and its Committees stand suspended and the same are vested with and exercised by Resolution Professional.  Further, as per SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the provisions specified in Regulations 17, 18, 19 and 20 are not applicable to the Company w.e.f 7th February, 2020 due to initiation of CIRP against the Company. Therefore, no committee meetings held during the quarter ended 30th September, 2020.

Annexure 1			
<b>V. Related Party Transactions</b>			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	
Disclosure of notes on related party transactions			Textual Information(1)

Text Block	
Textual Information(1)	In consonance with the stipulation contained in Section 17, 18 and 20 of the IBC, 2016, the powers of the Board of Directors and its Committees stand suspended and the same are vested with and exercised by Resolution Professional.  Further, as per SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the provisions specified in Regulations 17, 18, 19 and 20 are not applicable to the Company w.e.f 7th February, 2020 due to initiation of CIRP against the Company.

Annexure 1		
<b>VI. Affirmations</b>		
Sr	Subject	Compliance status

		(Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	No
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	No
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Textual Information(1)

#### Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Anil Prasad Shaw
2	Designation	Company Secretary and Compliance Officer

#### Text Block

Textual Information(1)	Mr. Ankit Rungta and Mr. Ankit Jain, Independent Directors have placed their resignations before the Company w.e.f. 7th March, 2020, Mrs. Sujata Agarwal, Independent Director has placed her resignation before the Company w.e.f. 29th Mzy, 2020 and Mr. Sharat Malik, Independent Director has also placed his resignation before the Company w.e.f. 20th July, 2020 and the same were put up before CoC by RP. However, none of the resignations were approved by CoC.
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#### Annexure III

III. Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	NA	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	NA	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	NA	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	NA	

5	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	NA	
Any other information to be provided			Textual Information(1)	

### Text Block

Textual Information(1)	The time line of Annual General Meeting for the Financial Year 2019-2020 of all the Company has been extended till 31st December, 2020 by Ministry of Corporate Affairs (MCA), Government of India and accordingly the AGM of the Company for the year 2019-2020 has not been held till date. The same has already been intimated to Stock Exchanges.
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### Annexure III

1	Name of signatory	Anil Prasad Shaw
2	Designation	Company Secretary and Compliance Officer

### Signatory Details

Name of signatory	Anil Prasad Shaw
Designation of person	Company Secretary and Compliance Officer
Place	Kolkata
Date	08-10-2020