

General information about company

| | |
|--|--------------------------|
| Scrip code | 532731 |
| NSE Symbol | ROHITFERRO |
| MSEI Symbol | |
| ISIN | INE248H01012 |
| Name of the company | Rohit Ferro-Tech Limited |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-12-2018 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

Declaration

| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
|---------|--|--------|-----------------------------|--------------------|-------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | Yes | Yes | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|------------------------------|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 13 | 81917842 | | | 81917842 | 72 | 81917842 | | 81917842 | 72 |
| (B) | Public | 17520 | 31858281 | | | 31858281 | 28 | 31858281 | | 31858281 | 28 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |

| | | | | | | | | | | | | |
|------|--------------------------------|-------|-----------|--|--|-----------|-----|--|-----------|--|-----------|-----|
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | |
| | Total | 17533 | 113776123 | | | 113776123 | 100 | | 113776123 | | 113776123 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 72 | | | 81917842 | 100 | 81917842 |
| (B) | Public | | | | 28 | | | | | 31843629 |
| (C) | Non Promoter- Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | 81917842 | 72 | 113761471 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | Total as a % of Total Voting rights |
|-----|--|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 4 | 6065638 | | | 6065638 | 5.33 | 6065638 | | 6065638 | 5.33 |
| (d) | Any Other (specify) | 9 | 75852204 | | | 75852204 | 66.67 | 75852204 | | 75852204 | 66.67 |
| | Sub-Total (A)(1) | 13 | 81917842 | | | 81917842 | 72 | 81917842 | | 81917842 | 72 |
| (2) | Foreign | | | | | | | | | | |
| | Total Shareholding of Promoter and Promoter | 13 | 81917842 | | | 81917842 | 72 | 81917842 | | 81917842 | 72 |

| | | | | | | | | | | | |
|--|---|-------|-----------|--|--|-----------|------|-----------|--|-----------|------|
| Group (A)=(A)(1)+(A)(2) | | | | | | | | | | | |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 16925 | 17748646 | | | 17748646 | 15.6 | 17748646 | | 17748646 | 15.6 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 197 | 10381132 | | | 10381132 | 9.12 | 10381132 | | 10381132 | 9.12 |
| (b) | NBFCs registered with RBI | 3 | 10193 | | | 10193 | 0.01 | 10193 | | 10193 | 0.01 |
| (e) | Any Other (specify) | 395 | 3718310 | | | 3718310 | 3.27 | 3718310 | | 3718310 | 3.27 |
| Sub-Total (B)(3) | | 17520 | 31858281 | | | 31858281 | 28 | 31858281 | | 31858281 | 28 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 17520 | 31858281 | | | 31858281 | 28 | 31858281 | | 31858281 | 28 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 17533 | 113776123 | | | 113776123 | 100 | 113776123 | | 113776123 | 100 |
| Total (A+B+C) | | 17533 | 113776123 | | | 113776123 | 100 | 113776123 | | 113776123 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|---|--|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|--|
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
| (1) | Indian | | | | | | | | | |
| (a) | | | | 5.33 | | | 6065638 | 100 | 6065638 | |
| (d) | | | | 66.67 | | | 75852204 | 100 | 75852204 | |
| Sub-Total (A)(1) | | | | 72 | | | 81917842 | 100 | 81917842 | |
| (2) | Foreign | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | | | 72 | | | 81917842 | 100 | 81917842 | |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | |
| (1) | Institutions | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | |

| | | | | | | | | | |
|--|---|--|--|------|--|--|----------|----|-----------|
| (a(i)) | | | | 15.6 | | | | | 17733994 |
| (a(ii)) | | | | 9.12 | | | | | 10381132 |
| (b) | | | | 0.01 | | | | | 10193 |
| (e) | | | | 3.27 | | | | | 3718310 |
| Sub-Total (B)(3) | | | | 28 | | | | | 31843629 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 28 | | | | | 31843629 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 113761471 |
| Total (A+B+C) | | | | 100 | | | 81917842 | 72 | 113761471 |

| Individuals/Hindu undivided Family | | | | | |
|---|--------------------|--------------|-------------|-------------|---------------------------------------|
| Searial No. | 1 | 2 | 3 | 4 | |
| Name of the Shareholders (I) | SURESH KUMAR PATNI | SARITA PATNI | ANKIT PATNI | ROHIT PATNI | Click here to go back |
| PAN (II) | AESPP2029L | AFYPP9461K | AJWPP2920M | AIQPP6728E | Total |
| No. of fully paid up equity shares held (IV) | 2186403 | 1549694 | 1128589 | 1200952 | 6065638 |
| No. Of Partly paid-up equity shares held (V) | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 2186403 | 1549694 | 1128589 | 1200952 | 6065638 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.92 | 1.36 | 0.99 | 1.06 | 5.33 |
| Number of Voting Rights held in each class of securities (IX) | | | | | |
| Class eg:X | 2186403 | 1549694 | 1128589 | 1200952 | 6065638 |
| Class eg:y | | | | | |
| Total | 2186403 | 1549694 | 1128589 | 1200952 | 6065638 |
| Total as a % of Total Voting rights | 1.92 | 1.36 | 0.99 | 1.06 | 5.33 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | |
| No. Of Shares Underlying | | | | | |

| | | | | | |
|--|----------|----------|----------|----------|---------|
| Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 1.92 | 1.36 | 0.99 | 1.06 | 5.33 |
| Number of Locked in shares (XII) | | | | | |
| No. (a) | | | | | |
| As a % of total Shares held (b) | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | |
| No. (a) | 2186403 | 1549694 | 1128589 | 1200952 | 6065638 |
| As a % of total Shares held (b) | 100 | 100 | 100 | 100 | 100 |
| Number of equity shares held in dematerialized form (XIV) | 2186403 | 1549694 | 1128589 | 1200952 | 6065638 |
| Reason for not providing PAN | | | | | |
| Reason for not providing PAN | | | | | |
| Shareholder type | Promoter | Promoter | Promoter | Promoter | |

| Any Other (specify) | | | | | | | |
|---|-------------------------------------|---------------------------------|---|---------------------------|----------------------------|--------------------------------|-----------------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate |
| Name of the Shareholders (I) | PODDAR MECH TECH SERVICES PVT. LTD. | VASUPOJYA ENTERPRISES PVT. LTD. | SUANVI TRADING & INVESTMENT COMPANY PVT. LTD. | INVESCO FINANCE PVT. LTD. | SHREYANSH LEAFIN PVT. LTD. | WHITESTONE SUPPLIERS PVT. LTD. | ARTHODOCK VINIMAY PVT. LTD. |
| PAN (II) | AABCP7534L | AAACV8958M | AADCS6731L | AAACI5663E | AAECS3782Q | AAACW2202M | AACCA1565F |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 12979933 | 11359538 | 11237501 | 11039317 | 10993150 | 10907765 | 5250000 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = | 12979933 | 11359538 | 11237501 | 11039317 | 10993150 | 10907765 | 5250000 |

| | | | | | | | |
|--|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| (IV)+(V)+ (VI) | | | | | | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 11.41 | 9.98 | 9.88 | 9.7 | 9.66 | 9.59 | 4.61 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 12979933 | 11359538 | 11237501 | 11039317 | 10993150 | 10907765 | 5250000 |
| Class eg:y | | | | | | | |
| Total | 12979933 | 11359538 | 11237501 | 11039317 | 10993150 | 10907765 | 5250000 |
| Total as a % of Total Voting rights | 11.41 | 9.98 | 9.88 | 9.7 | 9.66 | 9.59 | 4.61 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 11.41 | 9.98 | 9.88 | 9.7 | 9.66 | 9.59 | 4.61 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | | |
| No. (a) | 12979933 | 11359538 | 11237501 | 11039317 | 10993150 | 10907765 | 5250000 |
| As a % of total Shares held (b) | 100 | 100 | 100 | 100 | 100 | 100 | 100 |
| Number of equity shares held in dematerialized form (XIV) | 12979933 | 11359538 | 11237501 | 11039317 | 10993150 | 10907765 | 5250000 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |
| Shareholder type | Promoter Group | Promoter Group | Promoter Group | Promoter Group | Promoter Group | Promoter Group | Promoter Group |

Any Other (specify)

| | | | |
|--|--------------------------|---------------------------|---------------------------------------|
| Serial No. | 8 | 9 | |
| Category | Bodies Corporate | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | NUCORE EXPORTS PVT. LTD. | VNG MERCANTILES PVT. LTD. | |
| PAN (II) | AABCN6978J | AABCV7629N | Total |
| No. of the Shareholders (I) | 1 | 1 | 9 |
| No. of fully paid up equity shares held (IV) | 1100000 | 985000 | 75852204 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 1100000 | 985000 | 75852204 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.97 | 0.87 | 66.67 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg: X | 1100000 | 985000 | 75852204 |
| Class eg:y | | | |
| Total | 1100000 | 985000 | 75852204 |
| Total as a % of Total Voting rights | 0.97 | 0.87 | 66.67 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % | 0.97 | 0.87 | 66.67 |

| | | | |
|---|----------------|----------------|----------|
| of (A+B+C2) | | | |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | |
| No. (a) | 1100000 | 985000 | 75852204 |
| As a % of total Shares held (b) | 100 | 100 | 100 |
| Number of equity shares held in dematerialized form (XIV) | 1100000 | 985000 | 75852204 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |
| Shareholder type | Promoter Group | Promoter Group | |

| Any Other (specify) | | | | | |
|---|----------|------------------|------------------|---------------------------|---------------------------------------|
| Serial No. | 1 | 2 | 3 | 4 | |
| Category | IEPF | Bodies Corporate | Clearing Members | Non-Resident Indian (NRI) | |
| Category / More than 1 percentage | Category | Category | Category | Category | |
| Name of the Shareholders (I) | | | | | Click here to go back |
| PAN (II) | | | | | Total |
| No. of the Shareholders (I) | 1 | 182 | 50 | 162 | 395 |
| No. of fully paid up equity shares held (IV) | 61478 | 2352395 | 201720 | 1102717 | 3718310 |
| No. Of Partly paid-up equity shares held (V) | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 61478 | 2352395 | 201720 | 1102717 | 3718310 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.05 | 2.07 | 0.18 | 0.97 | 3.27 |
| Number of Voting Rights held in each class of securities (IX) | | | | | |
| Class eg: X | 61478 | 2352395 | 201720 | 1102717 | 3718310 |
| Class eg:y | | | | | |
| Total | 61478 | 2352395 | 201720 | 1102717 | 3718310 |
| Total as a % of | 0.05 | 2.07 | 0.18 | 0.97 | 3.27 |

| | | | | | |
|--|-------|---------|--------|---------|---------|
| Total Voting rights | | | | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0.05 | 2.07 | 0.18 | 0.97 | 3.27 |
| Number of Locked in shares (XII) | | | | | |
| No. (a) | | | | | |
| As a % of total Shares held (b) | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 61478 | 2352395 | 201720 | 1102717 | 3718310 |
| Reason for not providing PAN | | | | | |
| Reason for not providing PAN | | | | | |